

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Filing Receipt Corrections, Office of Initial Patent Examination, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

HUBR 1260 (10404225) *IFW*

Dated: 12/21/04 Signature: E. Sheffield (Eileen Sheffield)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Norbert HOFGEN et al.

Confirmation No. 5382

Application No.: 10/824,342

Group Art Unit: 1615

Filed: April 14, 2004

Examiner: Not Yet Assigned

For: 5-HYDROXYINDOLES WITH N-OXIDE GROUPS  
AND THE USE THEREOF AS THERAPEUTIC  
AGENTS

**REQUEST FOR CORRECTED FILING RECEIPT**

Filing Receipt Corrections  
Office of Initial Patent Examination  
Commissioner for Patents, P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant, a copy of which is attached hereto, has the following error:

In the Applicants section, please correct the address of  
first inventor Norbert Höfgen to  
Ottendorf-Okrilla, Germany

Applicants are submitting a copy of the executed Declaration indicating the correct information. Please refer to the copy of the filing receipt, wherein the change is indicated.

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

Applicant believes no fee is due with this request. However, if a fee is due, please charge our Deposit Account No. 50-0624, under Order No. **HUBR 1260 (10404225)** from which the undersigned is authorized to draw.

Respectfully submitted,

By James R. Crawford

James R. Crawford

Registration No.: 39,155

FULBRIGHT & JAWORSKI L.L.P.

Attorney for Applicant

666 Fifth Avenue  
New York, New York  
(212) 318-3000  
(212) 318-3400 (fax)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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file

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/824,342	04/14/2004	1615	900	HUBR-1260-US		19	1

24972  
 FULBRIGHT & JAWORSKI, LLP  
 666 FIFTH AVE  
 NEW YORK, NY 10103-3198



CONFIRMATION NO. 5382  
 CORRECTED FILING RECEIPT



\*OC000000014100425\*

Date Mailed: 10/15/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

OTTENDORF-OKILLA

Obert Hofgen, Ottendorf-Okilla, GERMANY;  
 Hildegard Kuss, Dresden, GERMANY;  
 Karin Steinike, Radebeul, GERMANY;  
 Ute Egerland, Radebeul, GERMANY;  
 Chris Rundfeldt, Coswig, GERMANY;  
 Thomas Pfeifer, Radebeul, GERMANY;

Power of Attorney:

Peter Felfe-20297  
 Gerald E. Helget-30948  
 James Crawford-39155  
 Chai Im-40657  
 Bruce Koch-41905

Domestic Priority data as claimed by applicant

2003-04-24

Foreign Applications

GERMANY DE-103 18 609.3 04/24/2003

If Required, Foreign Filing License Granted: 06/23/2004

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/824,342

**Projected Publication Date:** 12/23/2004

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

5-hydroxyindoles with N-oxide groups and the use thereof as therapeutic agents

**Preliminary Class**

424

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

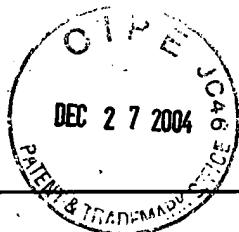
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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Docket No.

HUBR-1260-US

### Declaration and Power of Attorney for Patent Application English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

5-HYDROXYINDOLES WITH N-OXIDE GROUPS AND THE USE THEREOF AS THERAPEUTIC AGENTS

the specification of which (check one)

Is attached hereto.

was filed on April 14, 2004 U. S. Serial No: 10/824,342

And was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign applications(s) for patent or inventor's certificate, or Section 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT international application having a filing date before that of the application on which priority is claimed.

#### Prior Foreign Application(s)

Priority Not  
Claimed

DE-103 18 609.3 (Number)	DE (Country)	April 24, 2003 (Filing Date)	<input type="checkbox"/>
_____	_____	_____	<input type="checkbox"/>
_____	_____	_____	<input type="checkbox"/>
(Number)	(Country)	(Filing Date)	

Page 2 of 3

PATENT Docket No.  
Serial No.

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

_____	(Application Serial No.)	_____	(Filing Date)
_____	(Application Serial No.)	_____	(Filing Date)
_____	(Application Serial No.)	_____	(Filing Date)

I hereby claim the benefit under 35 U.S.C. Section 120 of any United States application(s), or Section 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, CFR, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

_____	(Application Serial No.)	_____	(Filing Date)	_____	(Status)
_____	_____	_____	_____	_____	(patented, pending, abandoned)
_____	(Application Serial No.)	_____	(Filing Date)	_____	(Status)
_____	_____	_____	_____	_____	(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Page 3 of 3

PATENT Docket No.  
Serial No.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Peter F. Felfe, Reg. No. 20,297, Norman D. Hanson, Reg. No. 30,946, John A. Bauer, Reg. No. 32,554, James R. Crawford, Reg. No. 39,155, Andrew Im, Reg. No. 40,657 and Bruce K. Koch, Reg. No. 41,905 my attorneys with full power of substitution and revocation.

Send Correspondence to: James R. Crawford  
CUSTOMER NO. 24972  
Fulbright & Jaworski L.L.P.  
666 Fifth Avenue  
New York, New York 10103

Customer No: 24972

Direct Telephone Calls to: James R. Crawford, 212-318-3148

Full name of first Inventor, if any

Obert HÖFGEN

First Inventor's signature



Date

06/07/2004

Resident

Hufenweg 1, 01458 Ottendorf-Okrilla, Germany

Citizenship Germany

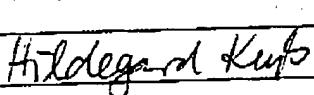
Post Office Address

SAME AS ABOVE

Full name of second inventor, if any

Hildegard KUSS

Second Inventor's signature



Date

10/08/2004

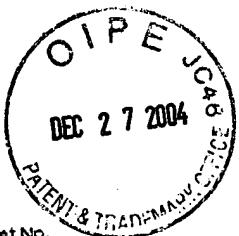
Resident

Kieler Strasse 6, 01109 Dresden, Germany

Citizenship Germany

Post Office Address

SAME AS ABOVE



Page 4 of 3

PATENT Docket No.  
Serial No.

Full name of third inventor, if any

Karin STEINIKE

Third inventor's signature

X K. Steinike

Date

X 13.07.04

Resident

Ledenweg 4c, 01445 Radebeul, Germany

Citizenship German

Post Office Address

SAME AS ABOVE

Full name of fourth inventor, if any

Ute EGERLAND

Fourth inventor's signature

X

Date

X

Resident

Magdalenenstr. 1, 01445 Radebeul, Germany

Citizenship German

Post Office Address

SAME AS ABOVE

Full name of fifth inventor, if any

Chris RUNDFELDT

Fifth inventor's signature

X

Date

X

Resident

Melanchthonstr. 11, 01640 Coswig, Germany

Citizenship German

Post Office Address

SAME AS ABOVE

Full name of sixth inventor, if any

Thomas PFEIFER

Sixth inventor's signature

X

Date

X

Resident

Wichernstr. 5, 01445 Radebeul, Germany

Citizenship German

Post Office Address

SAME AS ABOVE



Page 4 of 3

PATENT Docket No.  
Serial No.

Full name of third inventor, if any Karin STEINIKE	
Third inventor's signature <i>X</i>	Date <i>X</i>
Resident Ledenweg 4c, 01445 Radebeul, Germany	
Citizenship German	
Post Office Address  SAME AS ABOVE	
Full name of fourth inventor, if any Ute EGERLAND	
Fourth inventor's signature <i>X M. Egerland</i>	Date <i>X 12.2.04</i>
Resident Magdalenenstr. 1, 01445 Radebeul, Germany	
Citizenship German	
Post Office Address  SAME AS ABOVE	
Full name of fifth inventor, if any Chris RUNDFELDT	
Fifth inventor's signature <i>X</i>	Date <i>X 8.6.04</i>
Resident Melanchthonstr. 11, 01640 Coswig, Germany	
Citizenship German	
Post Office Address  SAME AS ABOVE	
Full name of sixth inventor, if any Thomas PFEIFER	
Fifth inventor's signature <i>X T. Pfeifer</i>	Date <i>X 13.08.04</i>
Resident Wichernstr. 5, 01445 Radebeul, Germany	
Citizenship German	
Post Office Address  SAME AS ABOVE	



Docket No.

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Priority Not  
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Page 2 of 3

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Page 3 of 3

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CUSTOMER NO. 24972  
Fulbright & Jaworski L.L.P.  
666 Fifth Avenue  
New York, New York 10103

Customer No: 24972

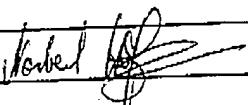
Direct Telephone Calls to: James R. Crawford, 212-318-3148

Full name of first inventor, if any

Obert HÖFGEN

First inventor's signature

X



Date

X 06/07/2004

Resident

Hufenweg 1, 01458 Ottendorf-Okrilla, Germany

Citizenship Germany

Post Office Address

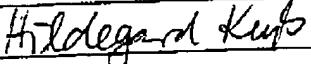
SAME AS ABOVE

Full name of second inventor, if any

Hildegard KUSS

Second inventor's signature

X



Date

X 10/08/2004

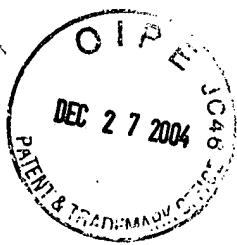
Resident

Kieler Strasse 6, 01109 Dresden, Germany

Citizenship Germany

Post Office Address

SAME AS ABOVE



Page 4 of 3

PATENT Docket No.  
Serial No.

Full name of third inventor, if any

Karin STEINIKE

Third inventor's signature

*X K. Steinike*

Date

*X 13.07.04*

Resident

Ledenweg 4c, 01445 Radebeul, Germany

Citizenship German

Post Office Address

SAME AS ABOVE

Full name of fourth inventor, if any

Ute EGERLAND

Fourth inventor's signature

*X*

Date

*X*

Resident

Magdalenenstr. 1, 01445 Radebeul, Germany

Citizenship German

Post Office Address

SAME AS ABOVE

Full name of fifth inventor, if any

Chris RUNDFELDT

Fifth inventor's signature

*X*

Date

*X*

Resident

Melanchthonstr. 11, 01640 Coswig, Germany

Citizenship German

Post Office Address

SAME AS ABOVE

Full name of sixth inventor, if any

Thomas PFEIFER

Sixth inventor's signature

*X*

Date

*X*

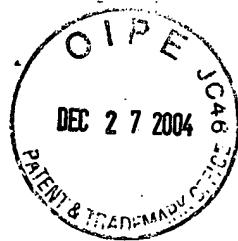
Resident

Wichernstr. 5, 01445 Radebeul, Germany

Citizenship German

Post Office Address

SAME AS ABOVE



Page 4 of 3

PATENT Docket No.  
Serial No.

Full name of third inventor, if any

Karin STEINIKE

Third inventor's signature

X

Date

X

Resident

Ledenweg 4c, 01445 Radebeul, Germany

Citizenship German

Post Office Address

SAME AS ABOVE

Full name of fourth inventor, if any

Ute EGERLAND

Fourth inventor's signature

X M. Egerland

Date

X 12.7.04

Resident

Magdalenenstr. 1, 01445 Radebeul, Germany

Citizenship German

Post Office Address

SAME AS ABOVE

Full name of fifth inventor, if any

Chris RUNDFELDT

Fifth inventor's signature

X *Chris Rundfeldt*

Date

X 8.6.04

Resident

Melanchthonstr. 11, 01640 Coswig, Germany

Citizenship German

Post Office Address

SAME AS ABOVE

Full name of sixth inventor, if any

Thomas PFEIFER

Sixth inventor's signature

X *Thomas Pfeifer*

Date

X 13.08.04

Resident

Wichernstr. 5, 01445 Radebeul, Germany

Citizenship German

Post Office Address

SAME AS ABOVE